

11-30-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

**THOMAS EUGENE WASCHURA, ANDREI  
POSKATCHEEV, ROBERT L. VERITY and  
DONALD W. POWER**

**SERIAL NUMBER: To be Assigned**

**Express Mailing Label No.: EJ236429432US**

**FILING DATE: To be Assigned**

**For: Apparatus and Method for Sampling Eye  
Diagrams with Window Comparators**

**PATENT**

**ART UNIT NO.: To be Assigned**

**EXAMINER: To be Assigned**

**ATTORNEY DOCKET NO.:  
WASC1821**

J1046 U.S. PTO  
09/996342  
11/21/01

San Jose, California  
November 20, 2001

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on November 21, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EJ236429432US to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231

Elia Salinas

Typed or printed name of person mailing paper or fee

Signature of person mailing paper

**NEW APPLICATION TRANSMITTAL**

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the patent application of

**Inventor(s): Tom Eugene Waschura, Andrei Poskatcheev, Robert L. Verity and  
Donald W. Power**

**For: Apparatus and Method for Sampling Eye Diagrams with Window  
Comparators**

This new application is for a(n) (check one applicable item below):

- ## 2. Benefit of Prior U.S. Application(s)

### 3. Papers Enclosed

- ## 14 Pages of specification

## 6 Pages of Claims

## 6 Sheets of drawing

formal

**XX** informal

- B. Other Papers Enclosed**

**1** Page of Abstract

**4. Additional papers enclosed**

- ☐ Preliminary Amendment  
☐ Information Disclosure Statement (37 CFR 1.98)  
☐ Form PTO-1449  
☐ Citations  
☐ Declaration of Biological Deposit

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November 20, 2001

- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

5. **Declaration or oath**

- ☐ Enclosed  
executed by (check **all** applicable boxes)
- ☐ Inventor(s).
- ☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43
- ☐ joint inventor or person showing a proprietary interest on behalf of Inventor who refused to sign or cannot be reached.
- ☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See *item 13 below for fee.*
- XX Not enclosed.
- ☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf on *all* the above named inventors
- ☐ Showing that the filing is authorized 37 CFR 1.41(d)

6. **Inventorship Statement**

The inventorship for all the claims in this application are:

- XX The same or
- ☐ Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
- ☐ is submitted
- ☐ will be submitted

7. **Language**

- X English
- ☐ non-English
- ☐ the attached translation is a verified translation, 37 CFR 1.52(d)

**XX** An assignment of the invention to **SYNTHESYS**

is attached. A separate XX "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or FORM PTO 1595 is also attached.

**XX** will follow.

A. X Regular Application

CLAIMS AS FILED				
Number Filed	Number Extra		Rate	Basic Fee 37 CFR 1.16(a) \$370.00
Total				
Claims (37 CFR 1.16(c))	10 -20=	0 x	\$9.00	\$ -0-
Independent				
Claims (37 CFR 1.16(b))	6 - 3=	3 x	\$42.00	\$126.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))			\$140.00	

\_\_\_\_\_ Amendment canceling extra claims enclosed.  
 \_\_\_\_\_ Amendment deleting multiple-dependencies enclosed.  
 \_\_\_\_\_ Fee for extra claims is not being paid at this time.

Filing Fee Calculation	\$ 496.00
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B. \_\_\_\_\_ Design Application  
(\$290.00-37 CFR 1.16(f))  
Filing Fee Calculation

C.            Plant Application  
(\$460.00-37 CFR 1.16(g))  
Filing Fee Calculation

## 10. Small Entity Statement(s)

**XX** Status as a small entity is being claimed for this application and which status as a small entity is proper and desired.

<b>Filing Fee Calculation (50% of A, B or C above)</b>	<b>\$248.00</b>
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November 20, 2001

11. Request for International-Type Search (37 C.F.R. 1.104(d))

\_\_\_ Please prepare an international-type search report for this application at the time when the national examination on the merits takes place.

12. Fee Payment Being Made At This Time

XX Not Enclosed

\_\_\_ No filing fee is to be paid at this time.  
(This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

\_\_\_ Enclosed in the form of a check

\_\_\_ basic filing fee \$ 496.00

\_\_\_ recording assignment (\$40.00; 37 CFR 1.21(h)) \$ 40.00

\_\_\_ petition fee for filing by other than all inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h)) \$ \_\_\_\_\_

\_\_\_ fee for international-type search report (\$40.00; 37 CFR 1.21(e)) \$ \_\_\_\_\_

Total Fees Enclosed \$ .00

13. Statement Where No Further Pages Added

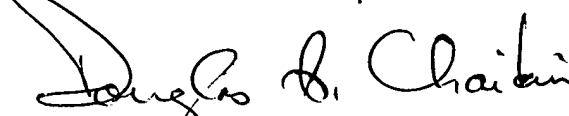
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Respectfully submitted,

**PENINSULA IP GROUP**

A Professional Law Corporation



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